## Lecture 9 (Applications of integration)–Volumes by slicing

Instructor: Dr. C.J. Xie (macjxie@ust.hk)

## 1 Recap last time

Comparison Theorem of improper integral

- If  $0 \le f(x) \le g(x)$ , then  $\int_a^\infty g(x) \, dx < \infty$  is convergent  $\Longrightarrow \int_a^\infty f(x) \, dx < \infty$  is convergent;
- If  $0 \le f(x) \le g(x)$ , then  $\int_a^\infty f(x) \, dx > \infty$  is divergent  $\Longrightarrow \int_a^\infty g(x) \, dx > \infty$  is divergent;
- If  $0 \leq |f(x)| \leq |g(x)|$ , then  $\int_a^{\infty} |g(x)| dx < \infty$  is convergent  $\implies \int_a^{\infty} |f(x)| dx < \infty$  is convergent;  $\implies \int_a^{\infty} f(x) dx < \infty$  is convergent;
- If  $0 \le |f(x)| \le |g(x)|$ , then  $\int_a^\infty |f(x)| dx > \infty$  is divergent  $\implies \int_a^\infty |g(x)| dx > \infty$  is divergent.

Rk. Property of improper integrals below,

- $\int_{a}^{\infty} f(x) dx$  is convergent  $\iff \int_{b}^{\infty}$  is convergent for some  $b \ge a$ ;
- $\int_a^{\infty} f(x) + g(x) dx = \int_a^{\infty} f(x) dx + \int_a^{\infty} g(x) dx$  whenever the improper integrals at right hand side are convergent.
- $\int_{a}^{\infty} cf(x) dx = c \int_{a}^{\infty} f(x) dx$  for any constant c;
- $\int_a^\infty |f(x)| \, dx < \infty$  is convergent  $\Longrightarrow \int_a^\infty f(x) \, dx < \infty$  is convergent.
- $\int_{a}^{\infty} f(x) \ dx > \infty$  is divergent  $\Longrightarrow \int_{a}^{\infty} |f(x)| \ dx > \infty$  is divergent.

The above property holds for other improper integrals. <u>Example</u>.  $\int_1^{\infty} e^{-x^2} dx$ , convergent of divergent? <u>solution</u>. Since that  $e^{-x^2} \leq e^{-x}$  for  $x \geq 1$  and

$$\int_{1}^{\infty} e^{-x} dx = (-e^{-x})|_{1}^{\infty} = \lim_{x \to \infty} -e^{-x} + e^{-1} = e^{-1} < \infty.$$

Thus the original improper integral is convergent. <u>Example</u>.  $\int_{1}^{\infty} \frac{1}{x^3 + \sqrt[3]{x}} dx$ , convergent of divergent? <u>solution</u>. Since that

$$\frac{1}{x^3+\sqrt[3]{x}} \leq \frac{1}{x^3} \quad \text{ for all } x \geq 1$$

and  $\int_{1}^{\infty} \frac{1}{x^3} dx$  is convergent, so does the original improper integral. <u>Example</u>.  $\int_{1}^{\infty} \frac{\sqrt{x}}{2x+\sqrt{x+3}} dx$ , convergent of divergent? <u>solution</u>. Since that  $2x + \sqrt{x} + 3 = 2x \left(1 + \frac{1}{2\sqrt{x}} + \frac{3}{2x}\right)$  and

$$1 + \frac{1}{2\sqrt{x}} + \frac{3}{2x} < 1 + \frac{1}{2\sqrt{x}} + \frac{3}{2\sqrt{x}} = 1 + \frac{2}{\sqrt{x}} \le 3, \quad \text{for } x \ge 1.$$

Thus,

$$\frac{\sqrt{x}}{2x + \sqrt{x} + 3} \ge \frac{\sqrt{x}}{2x \cdot 3} = \frac{1}{6x^{\frac{1}{2}}}.$$

Since  $\int_{1}^{\infty} \frac{1}{x^{\frac{1}{2}}} dx = \infty$  is divergent, thus the original one is divergent. <u>Example</u>.  $\int_{1}^{\infty} \frac{\sqrt{x}}{x^2+2x+1} dx$ , convergent of divergent? <u>solution</u>. Since that

$$\frac{\sqrt{x}}{x^2 + 2x + 1} \le \frac{\sqrt{x}}{x^2} = \frac{1}{x^{\frac{3}{2}}},$$

and  $\int_{1}^{\infty} \frac{1}{x^{\frac{3}{2}}} dx < \infty$  is convergent, thus the original one is convergent. <u>Example</u>.  $\int_{1}^{\infty} \frac{1+\sin^2 x}{\sqrt{x}} dx$ , convergent of divergent? <u>solution</u>. Since that

$$\frac{1+\sin^2 x}{\sqrt{x}} \ge \frac{1}{\sqrt{x}},$$

we have

$$\int_{1}^{\infty} \frac{1+\sin^2 x}{\sqrt{x}} \, dx \ge \int_{1}^{\infty} \frac{1}{\sqrt{x}} \, dx > \infty.$$

The original one is divergent.

Example.  $\int_1^\infty \frac{\ln x}{x^2} dx$ , convergent of divergent? solution. Since that  $\ln x < x$  for all  $x \ge 1$ , thus

$$\frac{2\ln\sqrt{x}}{x^2} \le \frac{2\sqrt{x}}{x^2} = \frac{2}{x^{\frac{3}{2}}}.$$

Note that  $\int_{1}^{\infty} \frac{1}{x^{\frac{3}{2}}} dx$  is convergent, so does the original one. <u>Example</u>.  $\int_{1}^{\infty} \frac{\ln x}{\sin^2 x + x^2} dx$ , convergent of divergent? <u>solution</u>. Since that

$$\frac{\ln x}{\sin^2 x + x^2} < \frac{\ln x}{x^2}$$

From above example, we have the convergent original improper integral. <u>Example (other types improper integral)</u>.  $\int_{3}^{7} \frac{1}{\sqrt{(x-3)(x^2+x+1)}} dx$ , convergent of divergent? <u>solution</u>. Since that

$$\frac{1}{\sqrt{(x-3)(x^2+x+1)}} < \frac{1}{\sqrt{x-3}}, \quad \text{for all } 3 \le x \le 7,$$

and

$$\int_{3}^{7} \frac{1}{\sqrt{x-3}} d(x-3) = \int_{0}^{4} u^{-\frac{1}{2}} du = 2u^{\frac{1}{2}}|_{0}^{4} = 4.$$

Thus, the original one is convergent. <u>Example</u>.  $\int_1^\infty \frac{x^2+2x+3}{2x^5-x^2} dx$ , convergent of divergent? <u>solution</u>. For x is larger enough, we have

$$\frac{x^2 + 2x + 3}{2x^5 - x^2} \approx \frac{x^2}{2x^5} = \frac{1}{2x^3}$$

and  $\int_1^\infty \frac{1}{x^3} \; dx$  is convergent. Since that

$$\frac{x^2 + 2x + 3}{2x^5 - x^2} \le \frac{x^2 + 2x^2 + 3x^2}{2x^5 \left(1 - \frac{1}{2x^3}\right)}, \quad \text{for all } x \ge 1,$$

and

$$\frac{1}{2x^3} \leq \frac{1}{2} \Longrightarrow 1 - \frac{1}{2x^3} \geq \frac{1}{2} \Longrightarrow \frac{1}{1 - \frac{1}{2x^3}} \leq 2$$

We have

$$\frac{x^2 + 2x + 3}{2x^5 - x^2} \le \frac{6x^2}{2x^5} \cdot 2 = \frac{6}{x^3}.$$

Since  $\int_{1}^{\infty} \frac{1}{x^3} dx$  is convergent, thus the original one is convergent as well. <u>Example</u>.  $\int_{1}^{\infty} \frac{\sin x}{x^2} dx$ , convergent of divergent? <u>solution</u>. Since that

$$\left|\frac{\sin x}{x^2}\right| \le \frac{1}{x^2}$$

and  $\int_1^\infty \frac{1}{x^2} dx$  is convergent. Thus,  $\int_1^\infty |\frac{\sin x}{x^2}| dx$  is convergent  $\implies \int_1^\infty \frac{\sin x}{x^2} dx$  is convergent. <u>Area between curves</u>

Example. Find the area enclosed by  $y = e^{2x}$ , y = x, x = 2 and the y-axis. solution. The enclosed sketch is shown below. We have



$$A = \int_0^2 \left[ e^{2x} - x \right] \, dx = \left( \frac{e^{2x}}{2} - \frac{x^2}{2} \right) \Big|_0^2 = \frac{e^4}{2} - \frac{5}{2}$$

Example. Find the area enclosed by  $y = 4x^2 - 1$  and  $y = \cos \pi x$ . solution. The enclosed sketch is shown below. We have

$$A = \int_{-\frac{1}{2}}^{\frac{1}{2}} \left[ \cos \pi x - (4x^2 - 1) \right] dx = \left( \frac{\sin \pi x}{\pi} - \frac{4}{3}x^3 + x \right) \Big|_{-\frac{1}{2}}^{\frac{1}{2}} = \left( \frac{1}{\pi} - \frac{1}{6} + \frac{1}{2} \right) - \left( -\frac{1}{\pi} - \frac{1}{6} - \frac{1}{2} \right) \\ = \frac{2}{\pi} + \frac{2}{3}.$$

Example. Find the area enclosed by  $y^2 = 2x + 4$  and y = x - 2. solution. To find the intersections, we have to take

$$\begin{cases} y^2 = 2x + 4\\ y = x - 2 \end{cases} \implies \begin{cases} x = 6, \quad y = 4\\ x = 0, \quad y = -2. \end{cases}$$



The enclosed sketch is shown below. Taking u = 2x + 4, we have

$$\begin{split} A &= \int_{-2}^{0} 2\sqrt{2x+4} \, dx + \int_{0}^{6} \left[\sqrt{2x+4} - (x-2)\right] \, dx \\ &= \int_{-2}^{0} \sqrt{2x+4} \, d(2x+4) + \frac{1}{2} \int_{0}^{6} \sqrt{2x+4} \, d(2x+4) + (2x - \frac{1}{2}x^2)|_{0}^{6} \\ &= \frac{2}{3} u^{\frac{3}{2}}|_{0}^{4} + \frac{1}{2} \cdot \frac{2}{3} u^{\frac{3}{2}}|_{4}^{16} + (2x - \frac{1}{2}x^2)|_{0}^{6} \\ &= 18. \end{split}$$

 $\underline{Rk}$ . It can be easier as below.

$$A = \int_{-2}^{4} \left[ (y+2) - (\frac{y^2}{2} - 2) \right] dy = \left( \frac{y^2}{2} + 4y - \frac{1}{6}y^3 \right) \Big|_{-2}^4 = 18.$$

Example. Find the area enclosed by  $y = \ln x$  and x = 4 and y = 0. solution. The enclosed sketch is shown below. We have



$$A = \int_{1}^{4} \ln x \, dx = x \ln x |_{1}^{4} - \int_{1}^{4} x \cdot \frac{1}{x} \, dx = 4 \ln 4 - 3,$$

or

$$A = \int_0^{\ln 4} \left[4 - (e^y)\right] \, dy = 4\ln 4 - 3.$$

## 2 Volumes by slicing

area If f(x) > 0 is continuous over [a, b], the area between the function f and the x-axis over [a, b] is given by

$$A = \int_{a}^{b} f(x) \, dx = \lim_{n \to \infty} \sum_{i=1}^{n} f(x_{i}^{*}) \Delta x,$$

see below for the definition of the definite integral of f(x) over [a, b].



volume (finding volume by using slices) Let S be a solid



• that lies between x = a and x = b. If the cross-sectional area of S in the plane  $P_x$ , through x and perpendicular to the x-axis, is denoted by A(x), where A is continuous function, then the **volume** of S is

$$V = \lim_{n \to \infty} \sum_{i=1}^{n} A(x_i^*) \Delta x = \int_a^b A(x) \, dx;$$

• that lies between y = c and y = d and if the cross-sectional area of S through y perpendicular to the y-axis, is denoted by A(y), where A(y) is a continuous function, then the **volume** of S is

$$V = \lim_{n \to \infty} \sum_{i=1}^{n} A(y_i^*) \Delta y = \int_a^b A(y) \, dy.$$

Rk. Using the volume of slices, the idea is basically given below,

- build the coordinates, including the **origin**, the symmetric axis (*x*-axis or *y*-axis);
- formulate the expression of the cross-sectional area A(x);
- compute  $V = \int_a^b A(x) \, dx$  or  $V = \int_a^b A(y) \, dy$ .

Example (volume of a pyramid) Find the volume of a pyramid whose base is a square with side L and whose height is h.

solution. Build the coordinates below, where left graph is the base, the cross-section are all squares



Note that

$$\frac{x}{s} = \frac{h}{L},$$

we have  $s = \frac{xL}{h}$ . Thus, the cross-sectional area is

$$A(x) = s^2 = \frac{L^2}{h^2}x^2.$$

The volume of the pyramid over [0, h] is

$$V = \int_0^h A(x) \, dx = \int_0^h \frac{L^2}{h^2} x^2 \, dx = \frac{L^2}{h^2} \left(\frac{1}{3}x^3\right) |_0^h = \frac{1}{3}L^2h = \frac{1}{3}Sh,$$

where S is the bottom area.

<u>Rk</u>. There are many ways to get the cross-sectional area A(x) or A(y). Whether we will use A(x) or A(y) will depend upon the method and the axis of rotation used for each problem.

Example (from classviva.org). As is shown in fig. 1, the base of a certain solid is the area bounded



Figure 1: Base and cross-section view.

above by the graph of y = f(x) = 16 and and below by the graph of  $y = g(x) = 25x^2$ . Cross-sections perpendicular to the x-axis are squares. Using the formula  $V = \int_a^b A(x) dx$  to find the volume.

solution. To find the intersections, we have to take

$$16 = 25x^2, \quad \Longrightarrow x = \pm \frac{4}{5}.$$

Thus, the volume is

$$V = \int_{-\frac{4}{5}}^{\frac{4}{5}} (16 - 25x^2)^2 \, dx = 2 \int_{0}^{\frac{4}{5}} (16 - 25x^2)^2 \, dx = \frac{8192}{75} \cdot 2 = \frac{16384}{75}.$$

<u>Method of rings</u> To find the specific A(x) or A(y), for example, see Figure 2. We take  $y = x^2$  over  $\overline{[1,2]}$ , and rotate the function about x-axis or y-axis, respectively. We get the cross-section area as

$$A = \pi (radius)^2$$
.

If we have two function f(x) and g(x) with  $0 \le f(x) \le g(x)$  over [a, b], see Figure 3. If we rotate



Figure 2: Method of rings.

both functions about x-axis. We get the cross-section area as

$$A = \pi (r_g^2 - r_f^2) = \pi (g(x)^2 - f(x)^2).$$

If there are two functions x = f(y) and x = g(y) and  $0 \le f(y) \le g(y)$  over [c, d], similarly, the cross-section area is given by

$$A = \pi(g(y)^2 - (f(y)^2)).$$

<u>Rk</u>. If we rotate about a horizontal axis (the *x*-axis), then the cross-sectional area will be a function of x. Likewise, if we rotate about a vertical axis (*y*-axis), then the cross-sectional area will be a function of y.

Example. Determine the volume of the solid obtained by rotating the region bounded by  $y = x^2 - 4x + 5$ , x = 1, x = 4 and the x-axis about x-axis.



Figure 3: The volume by rotating the closed region about x-axis between the f(x) and g(x) over  $\left[a,b\right]$ 



solution. Note that  $y = x^2 - 4x + 5 = (x - 2)^2 + 1$ , and when x = 1, y = 2; when x = 4, y = 5. The graph is shown in below.

We have the cross-sectional area

$$A(x) = \pi y^2 = \pi (x^2 - 4x + 5)^2 = \pi \left[ x^4 - 8x^3 + 26x^2 - 40x + 25 \right]$$

Thus, the volume is given by

$$V = \int_{1}^{4} A(x) \, dx = \int_{1}^{4} \pi \left[ x^{4} - 8x^{3} + 26x^{2} - 40x + 25 \right] \, dx$$
$$= \pi \left( \frac{1}{5}x^{5} - 2x^{4} + \frac{26}{3}x^{3} - 20x^{2} + 25x \right) \Big|_{1}^{4} = \frac{78}{5}\pi.$$

Example (volume of a ball) Find the volume of the ball of radius r.

solution Note that a ball of radius r can be generated by rotating the upper semicircle  $y = \sqrt{r^2 - x^2}$  about the x-axis presented below.



For  $x \in [-r, r]$ , the cross-section of a ball is a circular disk, with area  $\pi y^2 = \pi (r^2 - x^2)$ . Thus, the volume of the ball is

$$V = \int_{-r}^{r} \pi (r^2 - x^2) \, dx = 2 \int_{0}^{r} \pi (r^2 - x^2) \, dx = 2\pi \left( r^2 x - \frac{1}{3} x^3 \right) |_{0}^{r} = \frac{4}{3} \pi r^3.$$

Example. Determine the volume of the solid obtained by rotating the portion of the region bounded by  $\overline{y} = \sqrt[3]{x}$  and  $y = \frac{x}{4}$  hat lies in the first quadrant about the y-axis. solution. To find the intersections, we have to take

$$\sqrt[3]{x} = \frac{x}{4},$$

we have x = 0 and x = 0 in the first quadrant. The graph is shown below. We have



$$V = \int_0^2 A(y) \, dy = \int_0^2 \pi \left[ (4y)^2 - (y^3)^2 \right] \, dy = \pi \left[ \frac{16}{3} y^3 - \frac{1}{7} y^7 \right] |_0^2 = \frac{512}{21} \pi.$$

## Example.

Determine the volume of the solid obtained by rotating the region bounded by  $y = x^2 - 2x$  and y = x about the line y = 4.

solution. To find the intersections, we have to take

$$x^2 - 2x = x, \Longrightarrow x = 0, x = 3.$$

The graph is shown in fig. 4. We have



Figure 4: The closed region between  $y = x^2 - 2x$ , and y = x.

$$V = \int_0^3 A(x) \, dx = \int_0^3 \pi \left[ (4 - (x^2 - 2x))^2 - (4 - x)^2 \right] \, dx$$
$$= \pi \int_0^2 \left[ x^4 - 4x^3 - 5x^2 + 24x \right] \, dx = \pi \left( \frac{1}{5}x^5 - x^4 - \frac{5}{3}x^3 + 12x^2 \right) |_0^3 = \frac{153}{5}\pi.$$

Exercise (hint: answer:  $V = \frac{96\pi}{5}$ ). Determine the volume of the solid obtained by rotating the region bounded by  $y = 2\sqrt{x-1}$  and y = x-1 about the line x = -1.

<u>Rk</u>. If the rotation is about the line y = a of the closed region, we have the cross-section area A(x). If the rotation is about the line x = b of the closed region, we have the cross-section area A(y).